

THE LAW OFFICES OF  
**EDMOND A. DEFRANK**

20145 VIA MEDICI  
NORTH BRIDGE, CALIFORNIA 91326

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TELEPHONE: (818) 885-1575  
FACSIMILE: (818) 885-5750

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**AUG 23 2004**

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**REQUEST FOR CONTINUED EXAMINATION 37 CFR 1.114**

TO: USPTO/ Examiner M. Heneghan, Group 2134

FAX: (703) 872-9306

TEL: (703) 305-7727

FROM: Edmond DeFrank

DATE: August 23, 2004

RE: **REQUEST FOR CONTINUED EXAMINATION 37 CFR 1.114**  
Serial No. 09/615,772  
Our Docket No. AUS9-2000-0323-US1  
Entitled: **A SYSTEM AND METHOD FOR ALERTING  
COMPUTER USERS OF DIGITAL SECURITY INTRUSIONS**  
Filed: July 13, 2000  
By: Carroll et al.

Pages: 4 (NOT including cover sheet)

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Please find attached an RCE under 37 CFR 1.114 for the above matter in response to the Advisory Action dated August 13, 2004.

Thank you.



Edmond A. DeFrank

LAW OFFICES OF EDMOND A. DEFRANK  
20145 Via Medici  
Northridge, CA 91326

PATENT APPLICATION  
ATTORNEY DOCKET NO. AUS9-2000-0323-US1

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Carroll et al.

Confirmation No.: 3973

Application No.: 09/615,772

Examiner: M. Heneghan

Filing Date: July 13, 2000

Group Art Unit: 2134

Title: A SYSTEM AND METHOD FOR ALERTING COMPUTER USERS OF DIGITAL SECURITY  
INTRUSIONS

Mail Stop RCE  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR CONTINUED EXAMINATION (RCE) 37 CFR 1.114

Subsection (b) of 35 U.S.C. 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.  
See The American Inventors Protection Act of 1999 (AIPA).

Sir:

This is a Request for Continued Examination (RCE) under CFR 1.114 of the above-identified applicaiton.

*NOTE: 37 CFR 1.114 is effective on May 20, 2000. If the above- application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under CFR 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.*

Submission under 37 CFR 1.114

(X) Previously submitted:

(X) Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on July 14, 2004.  
(Any unentered amendment(s) referred to above will be entered).

( ) Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_.

( ) Other \_\_\_\_\_.

( ) Enclosed:

( ) Amendment/Reply

( ) Affidavit(s)/Declarations(s)

( ) Information Disclosure Statement (IDS)

( ) Other \_\_\_\_\_.

Miscellaneous

( ) Suspension of action is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months.

The fee for this Suspension is (37 CFR 1.17(ii)) \$130.00

( ) Other \_\_\_\_\_.

CONTINUED EXAMINATION TRANSMITTAL  
(RCE) (37 CFR 1.114) (continued)ATTORNEY DOCKET NO. AUS9-2000-0323-US1

(X) RCE filing fee \$770.00

- ( ) A Petition for Extension of Time
- |                  |           |
|------------------|-----------|
| ( ) one month    | \$110.00  |
| ( ) two months   | \$420.00  |
| ( ) three months | \$950.00  |
| ( ) four months  | \$1480.00 |

Please charge to Deposit Account 09-0447 the sum of \$770.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 09-0447 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 09-0447 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees.

(X) A duplicate copy of this transmittal letter is enclosed.

- ( ) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.  
Date of Deposit: \_\_\_\_\_

OR

- (X) I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number on (703) 872-9306 Aug. 23, 2004

Number of pages: 4

Typed Name: Edmond A. DeFrank

Signature: Edmond A. DeFrank

Respectfully submitted,

Carroll et al.

By Edmond A. DeFrank

Edmond A. DeFrank

Attorney/Agent for Applicant(s)

Reg. No. 37,814

Date: Aug. 23, 2004

Telephone No.: (818) 885-1575